Docket No.:

ICUMM.011C8C5

March 10, 2005 Page 1 of 1



AD VIVERAU.

Please Direct All Correspondence to Customer Number 20995

TRANSMITTAL LETTER INFORMATION DISCLOSURE STATEMENT

Applicant

George A. Lopez, M.D.

App. No

10/630,131

Filed

July 30, 2003

For

MEDICAL VALVE AND METHOD OF

Examiner

Loan H. Thanh

Art Unit

3763

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 10, 2005

(Date)

Paul N. Conover, Reg. No. 44,08

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- An Information Disclosure Statement and PTO/SB/08 equivalent listing references for (X) consideration:
 - (X) Listing 211 references.
 - (X) Enclosing 108 references.
- A check in the amount of \$180 to cover the above fee is enclosed. (X)
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Paul N. Conover

Registration No. 44,087

Attorney of Record

Customer No. 20,995

(949) 760-0404

PA-125

1331675 1 031005

Docket No.: ICUMM.011C8C5 Customer No. 20,995

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INFORMATION DISCLOSURE STATEMENT

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March 10, 2005

(Date)

Paul N. Conover, Reg. No. 44,087

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing 211 references. Copies of all of the non-patent references are submitted herewith. Applicant understands that the Examiner will be able to analyze the patent references using an electronic database.

As noted in the specification, this application claims priority to two applications filed on December 1, 1992 (App. No. PCT/US92/10367) and December 18, 1991 (U.S. App. Serial No. 07/813,073). Other U.S. patent applications also claim priority to these earlier-filed applications, and some of these applications are included in the chain of priority of the above-captioned application. Several of these applications have issued as U.S. patents.

The owner of these patents, ICU Medical, Inc., has pursued infringers in two lawsuits: ICU Medical, Inc. v. B. Braun Medical, Inc., U.S. District Court for the Northern District of California, CV-01-3202 CRB (MFJ), and ICU Medical, Inc. v. Alaris Medical Systems, Inc., U.S. District Court for the Central District of California, CV-04-0689-AHS (VBKx). The courts have previously advised the U.S. Patent and Trademark Office of the filing of these lawsuits in accordance with 35 U.S.C. § 290.

10/630,131 Docket No. ICUMM.011C8C5 Appl. No. Customer No. 20,995

Filed July 30, 2003

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Neither of the lawsuits has proceeded to trial or final judgment. The parties have performed discovery, prepared expert reports, and filed briefs, declarations, and other evidence. The courts have issued various rulings, including rulings relating to claim construction, summary judgment motions on the issues of infringement, validity, and enforceability, and motions for a temporary restraining order and a preliminary injunction. Submitted herewith are copies of the courts' docket sheets for both of these lawsuits, as well as copies of various documents corresponding to certain entries on the docket sheets and other matters. If the Examiner believes that copies of any other documents relating to any other entries on the docket sheets or relating to

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

any other issues should be provided, please advise the undersigned counsel.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 3/10/05

Paul N. Conover

Registration No. 44,087

Attorney of Record

Customer No. 20,995

(949) 760-0404

1331432 1 031005

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application No. 10/630,131

Filing Date July 30, 2003

First Named Inventor George A. Lopez

Art Unit 3763

Examiner Loan H. Thanh

Multiple sheets used when necessary)

SHEET 1 OF 10

· /		
-	Attorney Docket No.	ICUMM.011C8C5

		SHEET FOR 10		tomey bocket No. ICOMM.OTT	0000
R 1 4 2005	<u> </u>		U.S. PATENT	DOCUMENTS	
Examiner	.Cite	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	Des. 269,545	06/28/83	Cannon	
	2	Des. 274,355	06/19/84	Cannon	
	3	Des. 327,318	06/23/92	Duda, et al.	
	4	Re. 31,315	07/19/83	Jenkins, et al.	
	5	Re. 35,841	07/07/98	Frank, et al.	
	6	2,210,098	08/06/40	Ravenscroft	
	7	2,230,098	01/28/41	Wurzburger	
	8	2,999,499	09/12/61	Willet	
	9	3,385,301	05/28/68	Harautuneian	
	10	3,502,097	03/24/70	Muller	
	11	3,534,771	10/20/70	Eyerdam, et al.	
	12	3,583,391	06/08/71	Coz, et al.	
	13	3,630,199	12/28/71	Gangarosa, et al.	
	14	3,659,602	05/02/72	Cloyd	
	15	3,717,174	02/20/73	Dewall	
	16	3,797,486	03/19/74	Shaps	
	17	3,806,086	04/23/74	Cloyd	
,	18	3,830,241	08/20/74	Dye, et al.	
	19	3,861,388	01/21/75	Vaughn	
	20	3,932,065	01/13/76	Ginsberg, et al.	
	21	3,985,133	10/12/76	Jenkins, et al.	
•	22	3,994,293	11/30/76	Ferro	
	23	4,005,710	02/01/77	Zeddies, et al.	
	24	4,022,205	05/10/77	Tenczar	
	25	4,040,420	08/09/77	Speer	
	26	4,116,196	09/26/78	Kaplan, et al.	
	27	4,149,535	04/17/79	Volder	
	28	4,191,183	03/04/80	Mendelson	
	29	4,204,538	05/27/80	Cannon	

Examiner Signature

Date Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

	Application No.	10/630,131
INFORMATION DISCLOSURE	Filing Date	July 30, 2003
STATEMENT BY APPLICANT	First Named Inventor	George A. Lopez
STATEMENT BY APPLICANT	Art Unit	3763
(Multiple sheets used when necessary)	Examiner	Loan H. Thanh
SHEET 2 OF 10	Attorney Docket No.	ICUMM.011C8C5

	U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	30	4,232,669	11/11/80	Nitshke	
	31	4,257,416	03/24/81	Prager	
	32	4,265,280	05/05/81	Ammann, et al.	
	33	4,327,726	05/04/82	Kwong, et al.	
	34	4,340,097	07/20/82	Ammann, et al.	
	35	4,340,148	07/20/82	Beckham	
	36	4,392,851	07/12/83	Elias	
	37	4,405,163	09/20/83	Voges, et al.	
	38	4,405,312	09/20/83	Gross, et al.	
<u> </u>	39	4,413,992	11/08/83	Soika	
	40	4,429,856	02/07/84	Jackson	
	41	4,440,207	04/03/84	Genatempo, et al.	
<u> </u>	42	4,447,230	05/08/84	Gula, et al.	
	43	4,450,079	05/22/84	Farr .	
	44	4,452,473	06/05/84	Ruschke	
	45	4,496,352	01/29/85	Soika	
	46	4,515,591	05/07/85	Hemmerich, et al.	
	47	4,573,974	03/04/86	Ruschke	
	48	4,619,640	10/28/86	Potolsky, et al.	
	49	4,629,159	12/16/86	Wellenstam	
	50	4,655,753	04/07/87	Bellotti, et al.	
	51	4,698,207	10/06/87	Bringham, et al.	
	52	4,700,744	10/20/87	Rutter, et al.	
	53	4,710,168	12/01/87	Schwab, et al.	
	54	4,730,635	03/15/88	Linden	
	55	4,744,536	05/17/88	Bancalari	
	56	4,767,412	08/30/88	Hymanson	
	57	4,778,453	10/18/88	Lopez	
 	58	4,790,832	12/13/88	Lopez	

Examiner Signature Date Considered	

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T¹ - Place a check mark in this area when an English language Translation is attached.

10/630,131 Application No. INFORMATION DISCLOSURE Filing Date July 30, 2003 George A. Lopez First Named Inventor STATEMENT BY APPLICANT Art Unit 3763 (Multiple sheets used when necessary) Examiner Loan H. Thanh ICUMM.011C8C5 Attorney Docket No. SHEET 3 OF 10

			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	59	4,798,226	01/17/89	Struth	
	60	4,813,938	03/21/89	Raulerson	
· · · · · · · · · · · · · · · · · · ·	61	4,816,024	03/28/89	Sitar, et al.	
	62	4,819,659	04/11/89	Sitar	
	63	4,819,684	04/11/89	Zaugg, et al.	
	64	4,823,833	04/25/89	Hogan, et al.	
	65	4,846,805	07/11/89	Sitar	
	66	4,846,810	07/11/89	Gerber	
	67	4,871,356	10/03/89	Haindl, et al.	
	68	4,895,562	01/23/90	Lopez	
	69	4,919,167	04/24/90	Manska	
	70	4,931,048	06/05/90	Lopez	
	71	4,932,944	06/12/90	Jagger, et al.	
	72	4,946,445	08/07/90	Lynn	
	73	4,946,495	08/07/90	Wada, et al.	
	74	5,089,001	02/18/92	Hwang	
	75	5,147,333	09/15/92	Raines	
	76	5,049,128	09/17/91	Duquette	
	77	5,020,562	06/04/91	Richmond, et al.	
	78	5,047,021	09/10/91	Utterberg	
	79	5,066,287	11/19/91	Ryan	
	80	5,071,411	12/10/91	Hillstead	
	81	5,098,405	03/24/92	Peterson, et al.	
	82	5,108,380	04/28/92	Herlitze, et al.	
	83	5,148,811	09/22/92	Messinger	
	84	5,161,773	11/10/92	Tower	
	85	5,171,231	12/15/92	Heiliger	
	86	5,195,992	03/23/93	Dudar, et al.	
	87	5,201,725	04/13/93	Kling	

Examiner Signature	 Date Considered

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	Application No.	10/630,131
INFORMATION DISCLOSURE	Filing Date	July 30, 2003
STATEMENT BY APPLICANT	First Named Inventor	George A. Lopez
STATEMENT BY AFFLICANT	Art Unit	3763
(Multiple sheets used when necessary)	Examiner	Loan H. Thanh
SHEET 4 OF 10	Attorney Docket No.	ICUMM.011C8C5

•••			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	88	5,213,578	05/25/93	Heiliger, et al.	
	89	5,242,413	09/07/93	Heiliger	
	90	5,242,425	09/07/93	White, et al.	
	91	5,281,206	01/25/94	Lopez	
-	92	5,290,222	03/01/94	Feng, et al.	
	93	5,312,377	05/17/94	Dalton	
	94	5,324,270	06/28/94	Kayan, et al.	
	95	5,330,450	07/19/94	Lopez	
	96	5,336,174	08/09/94	Daoud, et al.	
	97	5,353,837	10/11/94	Faust	
	98	5,356,396	10/18/94	Wyatt, et al.	
	99	5,360,413	11/01/94	Leason, et al.	
	100	5,370,624	12/06/94	Edwards, et al.	
	101	5,688,254	11/18/97	Lopez, et al.	
	102	5,776,116	07/07/98	Lopez et al.	
'	103	6,019,748	02/01/00	Lopez	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
	104	UM-90784	10/07/94	Lopez		
	105	UM-91514	11/16/94	Lopez		
	106	1,232,175	02/02/88	Lopez, et al.		
	107	1,290,212	10/08/91	Lopez		
	108	1,305,903	08/04/92	Sitar		
	109	1,308,322	10/06/92	Lopez		
	110	1,315,165	03/30/93	Sitar, et al.		
	111	2,114,848	04/23/96	Lopez		
	112	1,435,244	0/407/88	Lopez, et al.		

Examiner Signature	Date Considered

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10/630,131 Application No. INFORMATION DISCLOSURE Filing Date July 30, 2003 First Named Inventor George A. Lopez STATEMENT BY APPLICANT Art Unit 3763 (Multiple sheets used when necessary) Examiner Loan H. Thanh ICUMM.011C8C5 SHEET 5 OF 10 Attorney Docket No.

•	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
	113	32661	01/24/90	Lopez		
	114	WO 93/02724	02/18/93	Dudar et al.		
	115	PCT/SD/146	06/14/94	Lopez		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	The floor magazing journal agrid symposium catalog atc \ agrid back magazing journal agrid symposium catalog atc \ agrid back magazing journal agrid symposium catalog after \ agrid back magazing journal agrid symposium catalog after \ agrid back magazing interpretation agrid symposium catalog after \ agrid back magazing agrid symposium catalog agrid back magazing agrid symposium catalog agrid agrid symposium catalog agrid symposium ca		T ¹
	116	"Luer Syringe Check Valves", by Kalkey-Roberts, Product Information Sheet M-02	
	117	Intent-to-Use Trademark Application for Trademark "CLAVE", filed with the Assistant Commissioner for Trademark s on March 13, 1992	
	118	Docket Sheet for U.S. District Court – Central District of California (Southern Division – Santa Ana) Civil Docket for Case #: 8:04-cv-00689-AHS-VBK entitled <u>ICU Medical Inc. v. Alaris Medical Systems</u> <u>Inc.</u>	
	119	Plaintiff ICU Medical, Inc.'s Initial Disclosure of Asserted Claims and Preliminary Infringement Contentions Under Adopted Patent L.R. 301 dated February 1, 2005	
	120	Memorandum of Points and Authorities in Support of ICU Medical, Inc.'s Ex Parte Application for Temporary Restraining Order and Order to Show Cause Why a Preliminary Injunction Should Not Issue	
Dated June 18, 2004			
	121	Declaration of Maureen Reitman in Support of ICU Medical, Inc.'s Ex Parte Application for Temporary Restraining Order and Order to Show Cause Why a Preliminary Injunction Should Not Issue dated June 18, 2004	
	122	Declaration of George A. Lopez, M.D., in Support of ICU Medical, Inc.'s Ex Parte Application for Temporary Restraining Order and Order to Show Cause Why a Preliminary Injunction Should Not Issue dated June 18, 2004	
·	123	Defendant Alaris Medical Systems, Inc.'s Opposition to Plaintiff ICU Medical, Inc.'s Ex Parte Application for Temporary Restraining Order and Order to Show Cause Why a Preliminary Injunction Should Not Issue dated June 21, 2004	
	124	Declaration of Janice F. McCampbell in Support of Defendant Alaris Medical Systems, Inc.'s Opposition to Plaintiff ICU Medical, Inc.'s Ex Parte Application for Temporary Restraining Order and Order to Show Cause Why a Preliminary Injunction Should Not Issue dated June 21, 2004	
	125	Plaintiff ICU Medical, Inc.'s Reply to Defendant Alaris Medical System, Inc.s Opposition to ICU Medical, Inc.'s Ex Parte Application for Temporary Restraining Order and Order to Show Cause Why a Preliminary Injunction Should Not Issue dated June 23, 2004	
	126	Defendant Alaris Medical Systems, Inc.'s Response to Order to Show Cause Why a Preliminary Injunction Should Not Issue dated July 6, 2004	
	127	Supplemental Declaration of Janice F. McCampbell in Support of Alaris Medical Systems, Inc.'s Response to the Order to Show Cause dated June 21, 2004	

Examiner Signature	Date Considered		
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		Application No.	10/630,131
	INFORMATION DISCLOSURE	Filing Date	July 30, 2003
.	STATEMENT BY APPLICANT	First Named Inventor	George A. Lopez
Ì	STATEMENT BY AFFEIGANT	Art Unit	3763
	(Multiple sheets used when necessary)	Examiner	Loan H. Thanh
	SHEET 6 OF 10	Attorney Docket No.	ICUMM.011C8C5

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		Т¹	
	128	Defendant Alaris Medical Systems, Inc.'s Notice of Errata to its Response to Order to Show Cause Why a preliminary Injunction Should Not Issue dated July 8, 2004	
	129	Plaintiff ICU Medical, Inc.'s Reply to Defendant Alaris Medical Systems, Inc.'s Response to Order to Show Cause Why a Preliminary Injunction Should Not Issue dated July 12, 2004	
	130	Plaintiff ICU Medical, Inc.'s [Proposed] Findings of Fact and Conclusions of Law in Support of Preliminary Injunction dated	
•	131	[Proposed] Findings of Fact and Conclusions of Law on Order to Show Cause Why a Preliminary Injunction Should Not Issue	
	132	Order Denying Plaintiff's Motion for a preliminary Injunction and Findings of Fact and Conclusions of Law dated July 30, 2004	
	133	Plaintiff ICU Medical, Inc.'s Memorandum of Points and Authorities in Support of its Motion Under Fed. R .Div. P. 15(a) for Leave to File an Amended Complaint dated October 4, 2004	
	134	[Proposed] Amended Complaint for Patent Infringement	
-	135	Defendant Alaris Medical Systems, Inc.'s Opposition to Plaintiff's Motion Under Fed. R. Civ. P. 15(a) for Leave to File an Amended Complaint dated October 8, 2004	
	136	Plaintiff ICU Medical, Inc.'s Reply to Alaris Medical Systems, Inc.'s Opposition to ICU's Motion Under Fed. R. Civ. P. 15(a) for Leave to File an Amended Complaint dated October 18, 2004	
-	137	Order Granting ICU Medical Inc.'s Motion for Leave to File First Amended Complaint dated December 27, 2004	
	138	Civil Minutes for Granting of Plaintiff and Counter-Defendant ICU Medical Inc.'s Motion Under F.R. Civ. P. 15(A) for Leave to File an Amended Complaint dated December 28, 2004	
	139	Plaintiff ICU Medical, Inc.'s Memorandum of Points and Authorities in Support of Motion to Strike and Dismiss Affirmative Defenses and Counterclaims of Alaris Medical Systems, Inc.'s First Amended Answer and Counterclaims to ICU's First Amended Complaint dated February 11, 2005	
	140	Alaris Medical System, Inc.'s First Amended Answer to Amended Complaint and Declaratory Judgment Counterclaims	
	141	Docket Sheet for U.S. District Court – California Northern District (San Francisco)b Civil Docket for Case #: 3:01-cv-03202 entitled ICU Medical Inc. v. B. Braun Medical, Inc.	
	142	Summons and Complaint for Patent Infringement filed August 21, 2001	
	143	First Amended Answer and First Amended Counterclaims dated January 10, 2002	
	144	Plaintiff ICU Medical, Inc.'s Reply to defendant B. Braun Medical, Inc.'s Counterclaims dated January 5, 2002	
	145	Defendant's Patent L.R. 30-3 Preliminary Invalidity Contentions dated March 7, 2002	
	146	Joint Claim Construction and Prehearing Statement Pursuant to Patent L.R. 40-3	

Examiner Signature	Date Considered	
*Examiner: Initial if reference considered, whether or not citation is in conform in conformance and not considered. Include copy of this form with next community.		

T¹ - Place a check mark in this area when an English language Translation is attached.

		Application No.	10/630,131
	INFORMATION DISCLOSURE	Filing Date	July 30, 2003
	STATEMENT BY APPLICANT	First Named Inventor	George A. Lopez
		Art Unit	3763
	(Multiple sheets used when necessary)	Examiner	Loan H. Thanh
	SHEET 7 OF 10	Attorney Docket No.	ICUMM.011C8C5

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	147	Plaintiff ICU Medical, Inc.'s Amended Initial Disclosure of Asserted Claims Under Patent L.R. 3-1 and Preliminary Infringement Contentions dated May 20, 2002	
	148	Revised Joint Claim construction and Prehearing Statement Pursuant to Patent L.R. 4-3 dated July 3, 2002	
	149	Plaintiff ICU Medical, Inc.'s Opening Brief on Claim Construction dated July 5, 2002	
	150	Declaration of Dana (Randy) Prozeller in Support of Plaintiff ICU Medical, Inc.'s Opening Brief on Claims Construction dated July 4, 2002	
<u>-</u>	151	Declaration of Thomas F. Fangrow in Support of Plaintiff ICU Medical, Inc.'s Opening Brief on Claim Construction dated July 4, 2002	
	152	Braun's Memorandum of Points and Authorities in Support of its Motion for summary Adjudication on the Priority Date of the '048 patent dated August 2, 2002	
	153	Braun's Answering Brief of Claim Construction dated August 2, 2002	
	154	Declaration of Neil J. Sheehan in Support of Braun's Reply Brief on Claim Construction dated August 2, 2002	
	155	Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Summary Adjudication on the Priority Date of the '048 Patent dated August 16, 2002	
	156	Plaintiff ICU Medical, Inc.'s Reply Brief on Claim Construction dated October 15, 2002	
	157	B. Braun Medical Inc.'s Surreply Brief on Claim Construction dated November 14, 2002	
	158	Joint Statement on Five Claim Terms/Issues to be Presented at Claim Construction Hearing dated November 14, 2002	
	159	Claim Construction Order dated November 27, 2002	
	160	Braun's Motion and memorandum of Points and Authorities in Support of its Motion for Summary Judgment of Invalidity of the '204 Patent dated January 17, 2003	
	161	Defendant's Patent L.R. 3-6(b) Final Invalidity Contentions dated January 21, 2003	
	162	Plaintiff ICU Medical, Inc.'s Motion of Motion and Motion for Summary judgment of Infringement and Memorandum of Points and Authorities in Support thereof dated January 31, 2003	
	163	Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Summary judgment of invalidity of the '204 Patent dated January 31, 2003	
	164	Braun's Reply memorandum in Support of its Motion for Summary Judgment of Invalidity of the '204 Patent dated February 7, 2003	
	165	Braun's Memorandum in Opposition of ICU's Motion for Summary Judgment of Infringement dated February 14, 2003	

Examiner Signature	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformin conformance and not considered. Include copy of this form with next comm	nance with MPEP 609. Draw line through citation if not unication to applicant.

		Application No.	10/630,131
	INFORMATION DISCLOSURE	Filing Date	July 30, 2003
1.	STATEMENT BY APPLICANT	First Named Inventor	George A. Lopez
1	STATEMENT BY APPLICANT	Art Unit	3763
	(Multiple sheets used when necessary)	Examiner	Loan H. Thanh
	SHEET 8 OF 10	Attorney Docket No.	ICUMM.011C8C5

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ¹
	166	Declaration of Neil Sheehan in Opposition to ICU Medical, Inc.'s Motion for Summary judgment of Infringement dated February 14, 2003	
	167	Declaration of Dr. Vijay Kumar in Opposition to ICU medical, Inc.'s Motion for summary Judgment of Infringement dated February 14, 2003	·
	168	Plaintiff ICU Medical, Inc.'s Reply in Support of Motion for Summary Judgment of Infringement dated February 21, 2003	
	169	Memorandum and Order dated March 12, 2003	
	170	Braun's Motion for and Memorandum in Support of Summary Judgment of Non-Infringement dated April 25, 2003	
	171	Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Summary judgment of Non-Infringement dated May 14, 2003	
	172	Declaration of Maureen Reitman in Support of Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Summary judgment of Non-Infringement dated May 14, 2003	
	173	Declaration of Sanjay Govindjee in Support of Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Summary Judgment of Non-Infringement dated May 13, 2003	
	174	Braun's Reply Memorandum in Support of its Motion for Summary Judgment of Non-Infringement of the '204 Patent dated May 21, 2003	
	175	Declaration of Peter W. Peppel in Support of Braun's Motion for Summary Judgment of Non- Infringement dated May 21, 2003	
	176	Order Denying defendant's Motion for Summary Judgment of Non-Infringement dated June 4, 2003	
	177	Braun's Motion and Memorandum for Leave to File its Second Amended Answer and First Amended Counterclaims dated July11, 2003	
	178	Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Leave to File Second Amended Answer and First Amended Counterclaim dated August 1, 2003	
-	179	Braun's Reply Memorandum in Support of its Motion for Leave to File its Second Amended Answer and Counterclaims dated August 15, 2003	
	180	Order dated August 28, 2003	
	181	[Proposed] First Amended Complaint for Patent Infringement	
	182	Braun's First Answer and Counterclaims to ICU's First Amended Complaint dated March 4, 2004	
	183	Plaintiff ICU Medical, Inc.'s Notice of Motion and Morion for Summary judgment of Infringement of the '673 Patent and Memorandum of Points and Authorities in Support Thereof dated March 29, 2004	
-	184	Plaintiff ICLI Modical, Inc.'s Poply to defendant R. Braun Modical, Inc.'s Counterclaims to ICLI's First	

Examiner Signature	Date Considered	
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T¹ - Place a check mark in this area when an English language Translation is attached.

		Application No.	10/630,131
ļ	INFORMATION DISCLOSURE	Filing Date	July 30, 2003
١.	STATEMENT BY APPLICANT	First Named Inventor	George A. Lopez
1		Art Unit	3763
	(Multiple sheets used when necessary)	Examiner	Loan H. Thanh
	SHEET 9 OF 10	Attorney Docket No.	ICUMM.011C8C5

,		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	185	Plaintiff ICU Medical, Inc.'s Notice of Motion, Motion to Strike Defense of B. Braun Medical, Inc.'s First Answer and Counterclaims to ICU's First Amended Complaint and memorandum of Points and Authorities in Support of Motion dated March 29, 2004	
	186	Braun's Opposition to Plaintiff ICU's Motion to Strike Braun's Defenses of Inequitable Conduct dated April 23, 2004	
	187	Plaintiff ICU Medical, Inc.'s Reply to defendant Braun medical, Inc.'s Opposition to ICU's Motion to Strike Braun's Defenses of Inequitable Conduct dated May 7, 2004	
	188	Order dated June 4, 2004	
	189	Joint Claim Construction and Prehearing Statement for '673 Patent	
	190	Revised Joint Claim Construction and Prehearing Statement for '773 Patent dated August 27, 2004	
	191	Plaintiff ICU Medical, Inc.'s Opening Brief on Claim Construction of '673 Patent dated August 27, 2004	<u></u> ,
	192	Declaration of Dana (Randy) Prozeller in Support of Plaintiff ICU Medical, Inc.'s Opening Brief on Claim Construction for the '673 Patent dated August 27, 2004	
	193	Declaration of Neil J. Sheehan in Support of Braun's Brief on Claim Construction of the '673 Patent dated September 10, 2004	
	194	Braun's Memorandum of Points and Authorities in Support of its Positions on Claim Construction Pursuant to Patent L.R. 4-5 dated September 10, 2004	
	195	Reply in Support of Plaintiff ICU Medical, Inc.'s Opening Brief on Claim Construction of '673 Patent dated September 21, 2004	
	196	Claim Construction Order dated November 8, 2004	
	197	Defendant's Patent L.R. 3-6(b) Final Invalidity Contentions for the '673 Patent dated December 28, 2004	
	198	Plaintiff ICU Medical, Inc.'s Notice of Motion and Motion for Summary Judgment on Defendant B. Braun medical, Inc.'s Inequitable Conduct Defense and Memorandum of Points and Authorities in Support Thereof dated January 7, 2005	
	199	Proug's Motion and memorandum in Support of Summary Judgment of Non-Infringement of the '673	
	200	Proug's Motion and mamorandum in Support of Summary Judgment of Non-Infringement of the '673	
	201	Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Summary Judgment of Non-Infringement of the '673 and '204 Patent by Braun's Ultrasite Valve with modified Piston dated January 21, 2005	
	202	Plaintiff ICU Medical, Inc.'s Opposition to B. Braun medical, Inc.'s Motion for Summary judgment of Non-Infringement of the '673 Patent dated January 21, 2005	

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		Application No.	10/630,131
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.	STATEMENT BY APPLICANT	First Named Inventor	George A. Lopez
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	203	Braun's Memorandum in Opposition to ICU's Motion for Summary Judgment of Infringement of the '673 Patent dated January 13, 2005	
	204	Braun's Memorandum of Points and Authorities in Opposition to Plaintiff ICU Medical, Inc.'s Motion for Summary Judgment of No Inequitable Conduct dated January 21, 2005	
	205	Plaintiff ICU Medical, Inc.'s Reply in Support of Its Motion for Summary Judgment on Defendant B. Braun Medical, Inc.'s Inequitable Conduct Defense dated January 28, 2005	
"	206	Plaintiff ICU Medical, Inc.'s Reply in Support of Its Motion for Summary Judgment of Infringement oif the '673 Patent dated January 28, 2005	
	207	Braun's Reply Memorandum in Support of Summary Judgment of Non-Infringement of the '673 Patent by the Accused Braun Ultrasite® Valve dated January 28, 2005	
	208	Braun's Reply to Plaintiff ICU Medical, Inc.'s Opposition to Braun's Motion for Summary Judgment of the '673 and '204 Patents by Braun's Untrasite® Valve With Modified Piston dated January 28, 2005	
	209	Expert Report of Neil Sheehan on the Validity of the '204 and '673 Patents dated January 14, 2005	
	210	Expert Report of Devinder Grewal in Rebuttal to Neil Sheehan's Report of Validity of the '204 and '673 Patents dated February 4, 2005	
	211	Expert Report of Neil Sheehan in Reply to the 2/4/05 Report of Devinder Grewal on the Validity of the '204 and '673 Patents dated February 18, 2005	

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Examiner Signature

Date Considered

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